Agreement on

The Technology Management Plan

(Regarding the Exploitation of Intellectual Property Rights)

for the U.S. – China Clean Energy Research Center Water

and Energy Technologies Consortium (WET)

The Department of Energy of the United States (DOE), as a Party, and the Ministry of Science and Technology (MOST) and the National Energy Administration (NEA) of the People's Republic of China, as one Party, collectively herein the "Parties," have agreed to a "Protocol," dated November 17, 2009, for Cooperation on a Clean Energy Research Center (CERC). The CERC Protocol provides for the establishment of a Secretariat in each country for performance of various functions. Pursuant to Article V of the CERC Protocol, the Secretariats are officials of each of the respective governments.

Implementing the CERC Protocol, the United States selected the University of California and China selected the Research Institute for Petroleum Exploration and Development to organize and lead teams of experts from public and private institutions to develop and carry out a five-year Joint Work Plan to advance technology in the area of water and energy in both countries. These teams are designated as the U.S. CERC-Water and Energy Technologies Consortium (CERC-WET) and the China CERC-WET. Leaders of the U.S. CERC-WET and the China CERC-WET signed a Joint Work Plan on July 1, 2016.

Members of the U.S. CERC-WET and the China CERC-WET have participated in joint development of, and their leaders have agreed to, a Technology Management Plan (TMP), regarding the exploitation of intellectual property rights pursuant to paragraph II.B.2.(d) of Annex I – Intellectual Property (hereinafter "IP Annex") of the CERC Protocol. The TMP, jointly signed on July 1, 2016, is applicable to all Water and Energy Cooperative Activities undertaken pursuant to the CERC Protocol and its IP Annex, except as otherwise may be agreed to by the Parties to the CERC Protocol or their respective designees in writing.

Pursuant to Article VII of the CERC Protocol, and its IP Annex, the respective Secretariats of the Parties, on behalf of the Parties, hereby agree to and endorse the Technology Management Plan of the CERC Water and Energy Technologies Consortium.

For the government of the United States:

Date: July 1, 2016

For the government of the People's Republic of China:

Date: 2016.7.1